

2172 #19 SP 11503

| TRANSMITTAL LETTER  |                |           | Case No:       |
|---|----------------|-----------|----------------|
| Serial No.  | Filing Date    | Examiner  | 9623/329       |
| 09/922,028  | August 3, 2001 | Kim Y. Vu | 2172           |
| Inventor(s)   |                |           | Group Art Unit |
| Dominic Dough-Ming Cheung et al.  |                |           | 2172           |
| Title of Invention  |                |           |                |
| SYSTEM AND METHOD FOR PROVIDING PLACE AND PRICE PROTECTION IN A SEARCH RESULT LIST<br>GENERATED BY A COMPUTER NETWORK SEARCH ENGINE |                |           |                |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is: Transmittal Letter (in dup.); Fourth Supplemental Information Disclosure Statement; PTO 1449 Form; copies of cited references E1-E4 and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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Other Than  
Small Entity

|   | Claims<br>Remaining<br>After<br>Amendment |       | Highest No.<br>Previously<br>Paid For | Present<br>Extra |
|---|---|-------|---------------------------------------|------------------|
| Total                                     |   | Minus |                                       |                  |
| Indep.                                    |   | Minus |                                       |                  |
| First Presentation of Multiple Dep. Claim |   |       |                                       |                  |

Small Entity


| Rate               | Add'l<br>Fee |
|--------------------|--------------|
| x \$9=             |              |
| x 42=              |              |
| +\$140<br>=        |              |
| Total<br>add'l fee | \$           |

or

| Rate               | Add'l<br>Fee |
|--------------------|--------------|
| x \$18=            |              |
| x \$84=            |              |
| + \$280=           |              |
| total<br>add'l fee | \$           |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
John G. Rauch  
Registration No. 37,218  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610 - (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450, on September 19, 2003.

Date: 9/19/03 Signature: 



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 19, 2003

Date of Deposit

John G. Rauch, Reg. No. 37,218

Name of Applicant, Assignee or  
Registered Representative

Signature

9/19/03

Date of Signature

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Our Case No.: 9623/329

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|  |   |
|--|---|
| <p>In re Application of:</p> <p>Dominic Dough-Ming Cheung et al.</p> <p>Serial No.: 09/922,028</p> <p>Filing Date: August 3, 2001</p> <p>For: SYSTEM AND METHOD FOR PROVIDING<br/>PLACE AND PRICE PROTECTION IN A<br/>SEARCH RESULT LIST GENERATED BY A<br/>COMPUTER NETWORK SEARCH ENGINE</p> | <p>Examiner: Hung Q. Pham</p> <p>Group Art Unit: 2172</p> |
|--|---|

**FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Fourth Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record.

The references now cited are the following:

### US PATENT DOCUMENTS

| DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME          |
|--|------------|---------------|
| 5,745,882  | 04/28/1998 | Bixler et al. |
| 5,946,646  | 08/31/1999 | Schena et al. |
| US 6,223,178 B1  | 04/24/2001 | Himmel et al. |

### OTHER ART AND RELATED DOCUMENT

|   |
|---|
| Copy of Patent Cooperation Treaty International Search Report dated June 17, 2003<br>for corresponding patent application No. PCT/US02/23502, 1 page. |
|---|

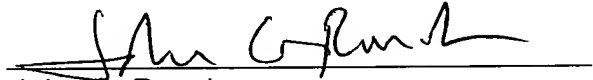
In accordance with 37 C.F.R. § 1.97(g),(h), this Fourth Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Fourth Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Fourth Supplemental Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Date: 9/19/03

  
John G. Rauch  
Registration No. 37,218  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
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Chicago, IL 60610  
Tel. (312) 321-4200  
Fax (312) 321-4299



|   |  |                        |
|---|--|------------------------|
| FORM PTO-1449   | SERIAL NO.<br>09/922,028                       | CASE NO.<br>9623/329   |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>August 3, 2001                  | GROUP ART UNIT<br>2172 |
| (use several sheets if necessary)   | APPLICANT(S): Dominic Dough-Ming Cheung et al. |                        |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME          | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|----|--|------------|---------------|--------------------|----------------|
|                     | E1 | 5,745,882  | 04/28/1998 | Bixler et al. |                    |                |
|                     | E2 | 5,946,646  | 08/31/1999 | Schena et al. |                    |                |
|                     | E3 | US 6,223,178 B1  | 04/24/2001 | Himmel et al. |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |
|                     | E  |  |            |               |                    |                |

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## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |   | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|---|--|------|---------|--------------------|--------------------------|
|                     | E |  |      |         |                    |                          |
|                     | E |  |      |         |                    |                          |
|                     | E |  |      |         |                    |                          |
|                     | E |  |      |         |                    |                          |
|                     | E |  |      |         |                    |                          |

EXAMINER  
INITIAL

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

|  |    |  |
|--|----|--|
|  | E4 | Copy of Patent Cooperation Treaty International Search Report dated June 17, 2003 for corresponding patent application No. PCT/US02/23502, 1 page. |
|  | E  |  |
|  | E  |  |
|  | E  |  |
|  | E  |  |
|  | E  |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.